

American Indian Higher Education Consortium (AIHEC)

Financial Conflict of Interest (FCOI) Disclosure Form for Award Funding

DISCLOSURE FOR AWARD FUNDED PROPOSALS AND FUNDED PROJECTS

All Principal Investigators (PIs) and all other investigators/personnel, where required, who have or share responsibility for the design, conduct, or reporting of federally-funded projects must disclose all significant financial interests of the investigator (including those of the investigator's spouse/registered domestic partner and dependent children) (i) that would reasonably appear to be affected by the research or educational activities funded or proposed for award funding; or (ii) in entities whose financial interests would reasonably appear to be affected by such activities.

Significant financial interests are involved and must be disclosed where one or more of the following financial interests of the investigator (and those of the investigator's spouse/domestic partner and dependent children) reasonably appears to be related to the investigator's Institutional responsibilities.

A *significant financial interest* exists if an equity interest that, when aggregated for the investigator and the investigator's spouse/domestic partner and dependent children, **exceeds \$5,000** in value as determined through reference to public prices or other reasonable measures of market value, and/or represent **more than a 5%** ownership interest in any single entity.

A *significant financial interest* exists when salary, royalties or other payments that, when aggregated for the investigator and the investigator's spouse/domestic partner and dependent children, **have exceeded or are expected to exceed \$5,000** during any immediately preceding or following 12-month period.

For award funding, significant financial interest **does not** include:

- Salary, royalties or other remuneration from AIHEC
- Income from seminars, lectures, or teaching engagements sponsored by public or nonprofit entities
- Income from service on advisory committees or review panels for public or nonprofit entities
- Equity interest and/or salary, royalty and other payments do not exceed the thresholds in the section regarding award funding, as applicable

American Indian Higher Education Consortium

AWARD FUNDING CERTIFICATION AND DISCLOSURE

Project Status/Reason for Disclosure (circle one):

New Submission

New/Change of Investigator

Per AIHEC Policy effective 3/1/2021 X

Title of Proposal:

CERTIFICATION

I/we certify that all individuals required to make disclosures of Significant Financial Interests have been listed on this form, and that all have read and understand the AIHEC's FCOI Policy for award funding, have made all financial disclosures required by it, and will comply with any conditions or restrictions imposed by AIHEC to manage, reduce, or eliminate actual or potential FCOIs.

TABLE I - Signatures:

(print name)	(signature)
1. PI:	
2.	
3.	
4.	

All Investigators and personnel, where required, who have or share responsibility for the design, conduct, or reporting of this award funded project should certify by signing on the table above.

DISCLOSURE

Do you, your spouse or registered domestic partner, and dependent children have a Significant Financial Interest (i) that would reasonably appear to be affected by the research or educational activities funded or proposed for funding by award funds; or (ii) in entities whose financial interests would reasonably appear to be affected by such activities. (See page 1 for definitions of Significant Financial Interests.)

Each individual listed on Table 1 should fill out the DISCLOSURE portion of this form.

1. Response: (circle one) - If "Yes" please describe and attach in pdf to Tina Cooper at tcooper@aihec.org

Yes	No
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2. Signature:

(print name)	(signature)

1. Response: (circle one) - If "Yes" please describe and attach in pdf to Tina Cooper at tcooper@aihec.org

Yes	No
-----	----

2. Signature:

(print name)	(signature)

1. Response: (circle one) - If "Yes" please describe and attach in pdf to Tina Cooper at tcooper@aihec.org

Yes	No
-----	----

2. Signature:

(print name)	(signature)

1. Response: (circle one) - If "Yes" please describe and attach in pdf to Tina Cooper at tcooper@aihec.org

Yes	No
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2. Signature:

(print name)	(signature)